Sheet 1 of 3 FORM PTO-1449 U.S. Department of Commerce Attorney Docket No.: AME-06381 Serial No.: 09/982,464 (Modified) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant: William D Huse et al. (Use Several Sheets If Necessary) Filing Date: 10/18/2001 Group Art Unit: 1642 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Examiner Cite Serial / Patent Issue Date Applicant / Patentee Class Subclass Filing Date Initials No. Number 6/29/93 i 5,223,409 Ladner et al. 3/1/91 2 5,225,539 10/25/91 Winter 10/25/91 3 5,264,563 11/23/93 Huse 12/14/92 4 5,403,484 4/4/95 Ladner et al. 1/26/93 5,523,388 6/4/96 5 Huse 2/27/95 6 5,585,089 12/17/96 Queen et al. 6/7/95 7 5,693,762 12/2/97 Queen et al. 6/7/95 8 5,723,323 3/3/98 Kauffman et al. 12/2/94 9 5,814,476 9/29/98 Kauffman et al. 6/5/95 Kauffman et al. 10 5,817,483 10/6/98 6/5/95 11 5,824,514 10/20/98 Kauffman et al. 6/5/95 12 5,871,974 2/16/99 Huse 12/2/94 13 5,976,862 11/2/99 Kauffman et al. 6/5/95 14 5,977,322 11/2/99 Marks et al. 6/13/96 15 6,096,551 8/1/00 Barbas et al. 9/16/97 16 6,312,693 11/6/01 Aruffo et al. 2/10/99 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Publication Date Country / Patent Office Class Subclass Number Yes No 17 0 451 216 B1 10/16/91 **EPO** 18 0 682 040 B1 11/15/95 EPO 19 0 939 127 A2 9/01/99 **EPO** 20 0 519 596 A1 5/12/92 **EPO** 21 WO 99/42075 26.08.99 **PCT** 22 2,125,240 A1 12/7/95 CA OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Amit et al., "Three-Dimensional Structure of an Antigen-Antibody Complex at 2.8 A Resolution," Science 233:747-753 (1986) 23 24 Baca et al., "Antibody humanization using monovalent phage display," J. Biol Chem 272:10678-84 (1997)

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